

R277. Education, Administration.

R277-602. Carson Smith Scholarships -- Funding and Procedures.

R277-602-1. Authority, Purpose, and Oversight Category.

- (1) This rule is authorized by:
 - (a) Utah Constitution [Article X, Section 3](#), which vests general control and supervision of the public school system under the Board;
 - (b) Subsection [53E-3-401](#)(4), which allows the Board to make rules to execute the Board's duties and responsibilities under the Utah Constitution and state law; and
 - (c) Section [53F-4-305](#), which authorizes the Board to make rules establishing:
 - (i) the eligibility of students to participate in the Carson Smith Scholarship program; and
 - (ii) the application process for the scholarship program.
- (2) The purpose of this rule is to:
 - (a) outline responsibilities of a parent, an LEA, an eligible private school, and the Board in providing choice for a parent of a special needs student who chooses to have a student served in a private school; and
 - (b) provide accountability for the citizenry in the administration and distribution of the scholarship funds.
- (3) This Rule R277-602 is categorized as Category 4 as described in Rule R277-111.

R277-602-2. Definitions.

- (1) "Appeal" means an opportunity to discuss or contest a final administrative decision consistent with and expressly limited to the procedures of this rule.
- (2) "Appeals Committee" means a committee comprised of:
 - (a) the Carson Smith Scholarship coordinator;
 - (b) the Board's Special Education Director;
 - (c) one individual appointed by the Superintendent; and
 - (d) two Board-designated special education advocates.

(3) "Assessment" means a formal testing procedure carried out under prescribed and uniform conditions that measures a student's academic progress, consistent with Subsection [53F-4-303](#)(1)(f).

(4) "Assessment team" means the individuals designated under Subsection [53F-4-301](#)(1).

(5) "Days" means school days unless specifically designated otherwise in this rule.

(6) "Eligible student" means an existing scholarship recipient who meets the qualifications described in Section [53F-4-302](#).

(7) "Enrollment" means that:

- (a) the student has completed the school enrollment process;
- (b) the school maintains required student enrollment information and documentation of age eligibility;
- (c) the student is scheduled to receive services at the school;
- (d) the student attends regularly; and
- (e) the school has accepted the student consistent with Rule R277-419 and the student's IEP.

(8) "Private school that has previously served a student with a disability" means an approved Carson Smith school that:

- (a) has enrolled a student within the last three years under the Carson Smith Scholarship program;
- (b) has enrolled a student within the last three years who has received special education services under an Individual Services Plan (ISP) from an LEA where the school is geographically located; or
- (c) can provide other evidence to the Board that is determinative of having enrolled a student with a disability within the last three years.

(9) "Warrant" means payment by check to a private school.

R277-602-3. Parent Responsibilities and Payment Provisions.

(1)(a) The Superintendent shall make a scholarship payment in accordance with Section [53F-4-304](#) and this rule.

(b) The Superintendent may distribute a scholarship payment to a private school through electronic transfer after the Superintendent is able to verify the scholarship student's attendance at the private school through a Board provided software application.

(2)(a) A parent shall notify the Board in writing within five days if the student does not continue in enrollment in an eligible private school for any reason, including:

- (i) parent or student choice;
- (ii) suspension or expulsion of the student; or
- (iii) the student has unexcused absences during the prior ten consecutive school days.

(b) In accordance with Subsection [53F-4-304](#)(4), if a student does not continue in enrollment, the Superintendent may:

- (i) modify the payment to the private school; or
- (ii) if payment has already been made for that quarter, request reimbursement from the private school for an amount equal to the portion of the scholarship attributable to the number of remaining days in the quarter.

(3) If a student discontinues enrollment, the student is no longer eligible to receive a scholarship under the Carson Smith program.

(4) A parent shall cooperate and respond within 10 days to an enrollment cross-checking request from the Superintendent.

(5) A parent shall notify the Superintendent in writing by May 1 annually to indicate the student's continued enrollment.

R277-602-4. LEA Responsibilities.

(1) An LEA that receives a request for evaluation for continued Carson Smith program eligibility shall provide personnel to participate on an assessment team to:

- (a) make the determination described in Section [53F-4-302](#); or
- (b) determine whether a student who previously received a Carson Smith Scholarship is entitled to receive the scholarship during the subsequent eligibility period.

(2) A Carson Smith Scholarship student may not participate in an extracurricular or co-curricular activity at an LEA, consistent with the parent's assumption of full responsibility for a student's services under Subsection [53F-4-302](#)(5).

(3) In accordance Subsection [53F-4-302](#)(8), a Carson Smith Scholarship student may participate in the Statewide Online Education Program described in Part 5, Statewide Online Education Program in the same manner as other private school students as described in Section [53F-4-507](#).

(4) A Carson Smith Scholarship student is eligible to receive equitable services under the Individuals with Disabilities Education Act.

(5) An LEA shall cooperate with the Superintendent in cross-checking Carson Smith Scholarship student enrollment information to ensure scholarship payments are not erroneously made.

(6) An LEA shall provide written notice to a parent of a student who has an IEP of the availability of a scholarship to attend a private school in accordance with Subsection [53F-4-302](#)(10).

(7) As set forth in Subsection [53F-4-302](#)(11), after the 2023-24 school year, neither an LEA, nor the Superintendent may accept a new application for Carson Smith program participation.

R277-602-5. State Board of Education Responsibilities.

- (1) The Superintendent may:
 - (a) provide reasonable timelines for satisfaction of private school requirements;
 - (b) issue letters of warning;
 - (c) require the school to take corrective action within a time frame set by the Superintendent;
 - (d) suspend the school from the program consistent with Section [53F-4-306](#);
 - (e) establish an appropriate penalty for a private school that fails to comply with requirements described in [Title 53F, Chapter 4, Part 3](#), Carson Smith Scholarships for Students with Special Needs, including:
 - (i) providing an affidavit under Section [53F-4-306](#);

- (ii) administering assessments or reporting an assessment to a parent or assessment team under Subsection [53F-4-303](#)(1)(f);
 - (iii) employing teachers with credentials required under Subsection [53F-4-303](#)(g);
 - (iv) providing to a parent relevant credentials of teachers under Subsection [53F-4-303](#)(i); or
 - (v) requiring a completed criminal background and ongoing monitoring under [Title 53G, Chapter 11, Part 4](#), Background Checks and take appropriate action consistent with information received; or
 - (f) initiate a complaint and hold an administrative hearing, as appropriate, and consistent with this rule.
- (2) The Superintendent shall make a list of eligible private schools updated annually and available no later than June 1 of each year.
- (3) On or before July 1, the Superintendent shall annually publish information regarding the level of funding available for scholarships for the fiscal year.
- (4) The Superintendent may mail a scholarship payment directly to a private school in accordance with Subsection [53F-4-304](#)(8) as soon as reasonably possible.

R277-602-6. Responsibilities of Private Schools that Receive Carson Smith Scholarships.

- (1) To be eligible to enroll a scholarship student, a private school shall meet the criteria described in Section [53F-4-303](#).
- (2) A private school shall annually:
- (a) obtain an audit and report from a licensed independent certified public accountant that conforms with the following requirements:
 - (i) the audit shall be performed in accordance with generally accepted auditing standards;
 - (ii) the financial statements shall be presented in accordance with generally accepted accounting principles; and
 - (iii) the audited financial statements shall be as of a period within the last 12 months; or

(b) contract with an independent licensed certified public accountant to conduct an agreed upon procedures engagement described in Subsection (4);

(3) The Superintendent shall annually publish:

(a) an agreed upon procedures document for a new private school to apply for eligibility to accept Carson Smith Scholarship students; and

(b) an agreed upon procedures document for a continuing private school to apply for continued eligibility to accept Carson Smith Scholarship students.

(4) A private school that seeks to enroll Carson Smith Scholarship students shall submit an agreed upon procedures document described in Subsection (3):

(a) for a new private school seeking eligibility to accept Carson Smith Scholarship students for the first time, by the May 1 prior to the fiscal year that the private school is seeking eligibility; and

(b) for a school seeking continued eligibility to accept Carson Smith Scholarship students, by the November 30 prior to the school year in which they are reapplying.

(5)(a) A private school who receives notification of pending eligibility shall schedule a meeting at a time and location mutually acceptable to the private school, the applicant parent, and participating public school personnel.

(b)(i) A private school and public school shall confidentially maintain documentation regarding an assessment team meeting, including documentation of:

(A) a meeting for a student denied a scholarship or service; and

(B) a student admitted into a private school and the student's level of service.

(ii) Upon request by the Superintendent, a private school and public school shall provide the documentation described in Subsection (3)(c)(i) to the Superintendent for purposes of determining student scholarship eligibility or for verification of compliance.

(6) A private school that receives a scholarship payment shall provide complete student records in a timely manner to another private school or a public school that requests student records if a parent transfers a student under Subsection [53F-4-302](#)(7).

(7) A private school shall notify the Board within five days if the student does not continue in enrollment in an eligible private school for any reason, including:

(a) parent or student choice;

(b) suspension or expulsion of the student; or

(c) the student has unexcused absences during the prior ten consecutive school days.

(8) A private school shall satisfy health and safety laws and codes required by Subsection [53F-4-303](#)(1)(d), including:

(a) the adoption of emergency preparedness response plans that include training for school personnel and parent notification for fire drills, natural disasters, and school safety emergencies; and

(b) compliance with Rule R392-200, Design, Construction, Operation, Sanitation, and Safety of Schools.

(9)(a) An approved eligible private school that changes ownership shall submit a new application for eligibility to receive a Carson Smith Scholarship payment from the Superintendent:

(i) that demonstrates that the school continues to meet the eligibility requirements of Section [53F-4-303](#) and this rule; and

(ii) within 60 calendar days of the date that an agreement is signed between previous owner and new owner.

(b) If the Superintendent does not receive the application within the time described in Subsection (7)(a)(ii):

(i) the new owner of the school is presumed ineligible to receive continued Carson Smith Scholarship payments from the Superintendent;

(ii) at the discretion of the Board, the Superintendent may reclaim any payments made to a school within the previous 60 calendar days; and

(iii) the private school shall submit a new application for eligibility to enroll Carson Smith Scholarship students consistent with the requirements and timelines of this rule.

R277-602-7. Carson Smith Scholarship Appeals.

(1)(a) A parent of an eligible student or a parent of a prospective eligible student may appeal only the following actions under this rule:

(i) an alleged violation by the Superintendent of Sections [53F-4-301](#) through 53F-4-308 or this rule; or

- (ii) an alleged violation by the Superintendent of a required timeline.
- (b) An appellant has no right to additional elements of due process beyond the specific provisions of this rule.
- (2) The Appeals Committee may not grant an appeal contrary to Sections [53F-4-301](#) through 53F-4-308.
- (3) A parent shall submit an appeal:
 - (a) in writing to the Board's Carson Smith Scholarship Coordinator at: Utah State Board of Education, 250 East 500 South, P.O. Box 144200, Salt Lake City, UT 84114-4200; and
 - (b) within 15 calendar days of written notification of the final administrative action described in Subsection (1)(a).
- (4)(a) The appeal opportunity does not include an investigation required under or similar to an IDEA state complaint investigation.
- (b) Nothing in the appeals process established under this rule shall be construed to limit, replace, or adversely affect parental appeal rights available under IDEA.
- (5) The Appeals Committee shall:
 - (a) consider an appeal within 15 calendar days of receipt of the written appeal;
 - (b) transmit the decision to a parent no more than ten calendar days following consideration by the Appeals Committee; and
 - (c) finalize an appeal as expeditiously as possible in the joint interest of schools and students involved.
- (6) The Appeals Committee's decision is a final administrative action.

KEY: special needs students, scholarships

Date of Last Change: July 9, 2024

Notice of Continuation: April 15, 2025

Authorizing, and Implemented or Interpreted Law: [Art X Sec 3](#); [53E-3-401\(4\)](#); [53F-4-3](#)