

# Utah's Dispute Resolution & Compliance Monitoring Data



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# 2012-2013 Dispute Resolution Data

- ⌘ Dispute resolution data from due process complaints, State complaints, and mediations.

# Dispute Resolution Data

- 8 DPH requests received by the USOE for 6 LEAs
  - 7 were withdrawn
  - 1 was completed and found for the LEA. It has been appealed
- 21 State complaints received by the USOE for 14 LEAs
  - 12 were completed with findings
  - 7 were completed without findings
  - 2 were withdrawn

# Additional Dispute Resolution Data

- ✎ 6 mediation requests received by the USOE for 6 LEAs
  - 1 mediation didn't happen – parties reached agreement prior to mediation
  - 4 resulted in mediation agreements
- ✎ 23 facilitation requests received by the USOE for 16 LEAs
  - 20 facilitations were held
  - 1 request was withdrawn
  - 1 was not agreed to by parents
  - 1 was not agreed to by LEA

# Due Process Hearing (DPH)

	2009-2010	2010-2011	2011-2012	2012-2013
DPHs Requested	7	9	6	8
DPHs Withdrawn	7	8	4	7
DPHs Completed with Findings	0	0	0	0
DPHs Completed without Findings	0	0	2	1
DPHs dismissed by hearing officer	0	1	0	0

# State IDEA Complaints

	2009-2010	2010-2011	2011-2012	2012-2013
Complaints Received	7 Complaints 6 LEAs	20 Complaints 12 LEAs	11 Complaints 9 LEAs	21 Complaints 14 LEAs
Complaints Withdrawn	1	0	0	2
Complaints Completed with Findings	4	6	5	12
Complaints Completed without Findings	2	14	6	7

# Mediation Requests

	2009-2010	2010-2011	2011-2012	2012-2013
Mediation Requests Received	3 Requests 3 LEAs	7 Requests 6 LEAs	3 Requests 2 LEAs	6 Requests 6 LEAs
Requests Withdrawn	0	1	0	0
Requests Not Agreed to by One Party	2 (Parents did not agree)	0	0	0
Requests Resulted in Mediation Agreements	1	5	1	4

# Problem Solving Facilitation (PSF)

	2009-2010	2010-2011	2011-2012	2012-2013
PSFs Requested	5 Requests 3 LEAs	10 Requests 9 LEAs	12 Requests 8 LEAs	23 Requests 16 LEAs
PSFs Withdrawn	0	1	0	1
PSFs Were Not Agreed to by One Party	2 (Parents did not agree)	2 (1 Parents did not agree, 1 LEA did not agree)	4 (2 Parents did not agree, 2 LEA did not agree)	2 (1 Parents did not agree, 1 LEA did not agree)
PSF Resulted in Facilitated Meetings	3	7	8	20



# Compliance Monitoring Data

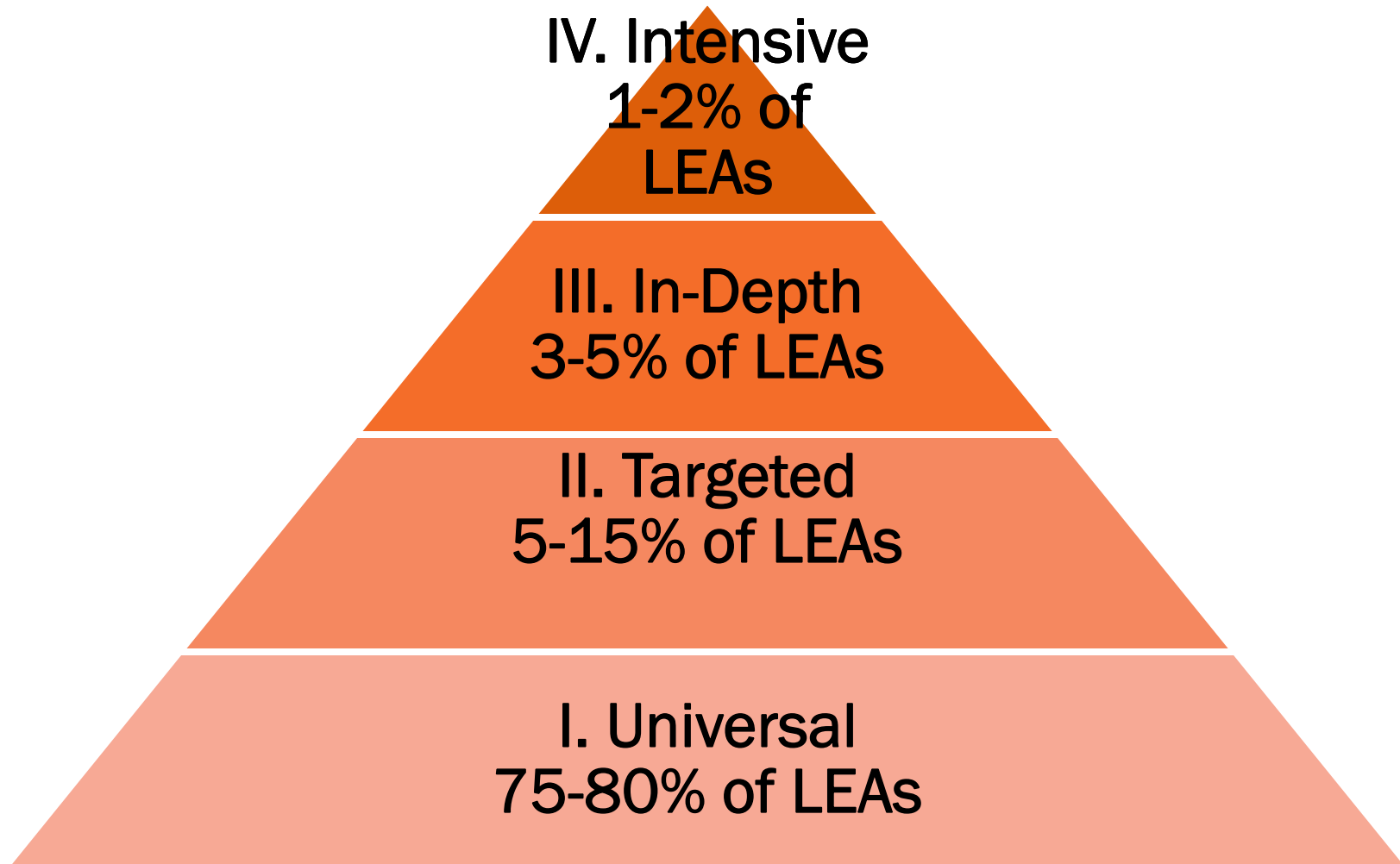
Issue	2012-2013 % of Occurrences in Applicable Reviewed Files
Evaluation and Eligibility procedures not followed for students with Visual Impairments/Blindness	43%
IEP Teams Do Not Appropriately Address Outside Agency Involvement	35%
Present Levels of Academic Achievement and Functional Performance (PLAAFP) statements do not include how the student's disability affects his/her involvement and progress in the general curriculum	27%
Notice of Meeting for IEP meetings missing	20%
Transition plans do not contain a course of study	19%
IEPs do not appropriately address specially designed instruction	16%

# Results Driven Accountability

∞ The overall UPIPS system is based on the following underlying principles or themes:

- Partnership with stakeholders
- LEA accountability
- Self assessment
- Data-driven process
- Technical assistance
- Results driven accountability

# Tiered Monitoring System




# 2013-14 Results Driven Accountability Process

∞ Data were reviewed for each LEA in the following areas:

- SEA Concerns
- Current APR Results
- APR Determination History
- USOE Targeted Priority Areas
  - (Transitions, Preschool, Numeracy)
- Monitoring Results
- LEA Internal Monitoring
- Program Improvement Plans and Progress Reports
- Dispute Resolution
- Data Timeliness, Quality, and Trends
- Fiscal
- Administration

# 2013-14 Results

- ☞ 3 LEAs in Intensive Tier – 2% of LEAs
- ☞ 6 LEAs in In-Depth Tier – 5% of LEAs
- ☞ 12 LEAs in Targeted Tier – 10% of LEAs
- ☞ 105 LEAs in Universal Tier – 83% of LEAs

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- ∞ 12 LEAs have been selected for on-site validation visits
  - ∞ 13 LEAs have been selected for additional data collection for APR indicators 11 & 13 (initial evaluation timelines & secondary transition plans)
  - ∞ 4 LEAs have been selected for commendation visits

# Questions?

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